## Terrestrial H<sub>2</sub>O and Energy Balance

- Objectives
  - -To gain a basic understanding of:
    - •Terrestrial ecosystem energy balance
    - •Terrestrial ecosystem hydrologic balance

# Terrestrial H<sub>2</sub>O and Energy Balance

•H<sub>2</sub>O & Energy balances are **very** interdependent

-Conservation of energy/mass

-Solar energy is the base of energy balance, and also drives hydrologic cycle

•Evapotranspiration (i.e., LE)

Hydrologic cycle accounts for
~80% of turbulent energy transfer
from surface to the atmosphere

•Evapotranspiration (i.e., LE)



(Sun et al. 2010)

# Terrestrial H<sub>2</sub>O and Energy Balance

•H<sub>2</sub>O cycle exerts large control on biogeochemical cycles

-Influences biotic processes

-Dissolution/transfer of nutrients within/among ecosystems

•Humans have greatly modified the hydrologic cycle

- -Use ~50% of readily available fresh water (70% by 2050)
- -Decreased streamflow & groundwater supplies
- -Regional and global climate impacts of LULC change
- -Sea level rise (thermal expansion and melting of ice)
- -"Water vapor feedback"

•Critical to understand the controls over energy and water exchange (i.e., budgets)

•Energy budget of an ecosystem can be viewed much as we did for the Earth system

In=Out

23+26+95=114+7+23



- *R*<sub>net</sub> = energy input to ecosystem
   Energy available to an ecosystem
- Surface energy absorption is a balance between
  - Input (long- & shortwave radiation)
  - Output (long- & shortwave radiation)
    - True for ecosystems, leaf surface, globe, etc.
    - $R_{\text{net}} = (K_{\text{in}} K_{\text{out}}) + (L_{\text{in}} L_{\text{out}})$



- K<sub>in</sub>
  - Direct (~90%), diffuse, and reflected shortwave radiation
- K<sub>out</sub>
  - Proportion of  $K_{in}$  absorbed depends on surface properties
    - albedo (a): 0-1 (1 most reflective)
    - *K*<sub>out</sub> ≈ a \* *K*<sub>in</sub>
      - More complex canopies  $\rightarrow$  lower a (and lower  $K_{out}$ )  $\rightarrow$  higher  $R_{net}$

**Table 4.1** Typical values of albedo for the major surfacetypes on Earth

Surface type	Albedo
Ocean and lakes	0.03–0.10ª
Bare soil	
Wet, dark	0.05
Dry, dark	0.13
Dry, light	0.40
Evergreen conifer	0.08-0.11
Deciduous conifer	0.13-0.15
Evergreen broadleaf	0.11-0.13
Deciduous broadleaf	0.14-0.15
Arctic tundra	0.15-0.20
Grassland	0.18-0.21
Savanna	0.18-0.21
Agricultural crops	0.18-0.19
Desert	0.20-0.45
Sea ice	0.30-0.45
Snow	
Old	0.40-0.70
Fresh	0.75-0.95

Data from Oke (1987), Sturman and Tapper (1996), Eugster et al. (2000), Hollinger et al. (2010)

<sup>a</sup>Albedo of water increases greatly (0.1–1.0) at solar angles less than  $30^{\circ}$ 

- L<sub>in</sub>
  - Determined by  $T_{sky}$ 
    - Most absorbed radiation is reemitted (emissivity is high)
- L<sub>out</sub>
  - Determined by  $T_{surface}$  and emissivity
  - Emissivity in vegetated ecosystems is ~1, so largely driven by  $T_{surface}$
- Both  $L_{in}$  and  $L_{out}$  depend on  $K_{in}$  and  $T_{surface/sky}$ – Also depends on a and evapotranspiration rates
- Why are cloudy nights warmer than clear nights?

- $R_{\text{net}} = (1-a)(K_{\text{in}}) + \sigma(\varepsilon_{sky}T_{sky}^4 \varepsilon_{surf}T_{surf}^4)$ 
  - $\sigma$  = Stefan-Boltzman constant;  $\varepsilon$  = emissivity
  - $K_{in}$ , a, surface roughness, and  $T_{surf}$  have largest impact on  $R_{net}$
- Canopy structure strongly influences T<sub>surf</sub> and, therefore, energy exchange
  - a  $\downarrow$  as canopy complexity  $\uparrow$
  - Roughness → mechanical turbulence that transfers energy from surface to atmosphere



#### •HI Tropical Dry Forest Conversion

*T*<sub>surf</sub>?
↑
Roughness?

• a?

 $R_{\text{net}} = (1-a)(K_{\text{in}}) + \sigma(\varepsilon_{sky}T_{sky}^{4} - \varepsilon_{surf}T_{surf}^{4})$ 

Windspeed
 ↑, but less
 mechanical
 turbulence





Do variations in seasonal snow cover and associated changes in albedo and atmospheric heating result in a positive feedback to the climate system?

Recent studies have found reductions in high-latitude snow cover.

(Dye, 2002; Stone et al., 2002; Chapin et al., 2005; Euskirchen et al., 2006)

- Energy input ≈ Energy loss
  - Radiative energy absorbed  $\approx$  Non-radiative energy lost
- $R_{\text{net}} = H + LE + G + P + DS$ 
  - sensible, latent, & ground heat flux; photosynthesis,
     Dstorage in biomass
- $R_{\text{net}} = H + LE + \textcircled{O} + \textcircled{O} + \textcircled{O}$ 
  - G balances over 24 hrs
  - P ~1-5% of  $K_{\rm in}$
  - DS typically small & balances over 24 hours

$$-R_{net} = H + LE$$



#### **Total Annual Area Burned in Alaska 1950-2006**



- Fire release large amounts of greenhouse gases to the atmosphere
  - Also changes energy and water balances
- How do post-fire shifts in stand structure impact climate via changes in surface energy exchange?
- *R*<sub>net</sub>, *H*, *LE*, a, and *T*<sub>surf</sub> in a 1999-burn (3 yrs), 1987-burn (15 yrs), and 1920-burn (82 yrs; Control = "unburned")
  - 1999-burn = grasses; 1987-burn=deciduous forest;
     Control = spruce forest
     Liu & Randerson 2007



 $R_{net} \downarrow 32\%$  and 34% for 1999-burn and 1987-burn (less energy available for ecosystem processes, and heating the atmosphere)

 $H \downarrow 61\%$  and 39% for 1999-burn and 1987-burn

*LE* 18% and 21% for 1999-burn and 1987-burn

Liu & Randerson 2007



Driven largely by changes in a, which altered the amount of surface energy available to drive *H* and *LE* 

Liu & Randerson 2007



Fire-induced changes in the surface energy budget led to overall climate cooling due to increased a and decreased Bowen ratio ( $\beta$ ) in recently burned stands

- Bowen ration ( $\beta$ ): *H*/*LE* 
  - Important interactions between *H* and *LE* 
    - LE cools surface &  $\downarrow$  H
    - H warms surface air &  $\uparrow$  LE
  - $-\beta$ : Index of strength of linkage between energy & H<sub>2</sub>O cycles
    - Lower  $\beta \rightarrow$  the tighter the linkage
  - -<0.1 to >10
  - -H or LE can dominate
    - *LE* dominates (i.e.,  $\beta < 1$ ):
      - Moist env., active vegetation, rough canopy/strong winds



### Hydrologic Cycle



# Hydrologic Cycle

- H<sub>2</sub>O is essential to biological processes
- H<sub>2</sub>O is the MAJOR greenhouse gas
  - Water-vapor feedback to warming
    - Warm air holds more water & warming causes more water to evaporate

    - Absorbs more infrared radiation
    - Warms the atmosphere



Terrestrial Ecosystem Water budget:
Inputs and outputs (vertical and lateral)
Internal transfers



### Why do plants use so much water?



•Constant tradeoff between  $CO_2$  uptake &  $H_2O$  loss for photosynthesis

•Driven by strong gradients in water potential along the soil-plant-atmosphere continuum

# Hydrologic Cycle

- Ecosystem ≈ bucket (Inputs = Outputs + DStorage)
- Inputs
  - Precipitation, Cloud/Fog water, Groundwater, Dew
- Outputs
  - Evaporation and Transpiration (& sublimation)
  - Runoff
  - Drainage / base flow
- Storage
  - Soil (and vegetation)
    - Primarily a function of soil depth & soil texture
  - Balance between inputs and outputs

#### Water inputs to ecosystems

- Precipitation
  - Major water input to most ecosystems
- Groundwater
  - Phreatophytes; stream-side communities
- Fog deposition / cloud interception
  - ≥50% of input in some systems (e.g., montane cloud forests)
- Dew

#### **Canopy interception**

- •Canopies intercept a large proportion of precipitation (10-20%) and cloud/fog  $H_2O$
- •Ecosystems differ in canopy interception/storage
  - Depends mainly on LAIDepends on precip size& wet vs. dry canopy
- Intercepted H<sub>2</sub>O can be:
   Evaporated, absorbed, throughfall, or stemflow



#### Water movement in ecosystems

- Water moves along energy gradients
  - From high to low potential energy
    - Less negative to more negative water potential
- What controls energy status of water?
  - Pressure
    - Gravity
    - Forces created by organisms
  - Osmotic gradients (solute concentrations)
  - Matric forces (adsorption)

$$y_t = y_p + y_o + y_m$$

$$J_{s} = L_s \frac{\Delta y}{l}$$

 $\begin{aligned} J_{s} &= \text{Water flux rate through soil} \\ \Delta y_{t} &= \text{Water potential gradient (gravity and matric forces predominate)} \\ L_{s} &= \text{Hydraulic conductivity (resistance)} \\ I &= \text{Path length (resistance)} \end{aligned}$ 

- Infiltration depends largely on hydraulic conductivity  $(L_s)$ 
  - Texture
  - Aggregate structure
  - Macropores made by animals and roots
  - Impermeable layers
    - Calcic layer in desert
    - Permafrost in cold climates
- When infiltration rate is < precipitation rate, runoff (overland flow) occurs

- Water holding capacity
  - WHC = Field Capacity (FC) -Permanent Wilting Point (PWP)
    - FC = amount of water left after drainage from gravity
    - PWP = point at which roots can no longer remove water from soil particle surfaces
- Texture has very large impact on WHC and plant available water



#### Roots

- Most roots are in upper soil
- Roots can extend far into soil
- Water moves into roots when roots have lower y than soil (more -)
- As roots draw water away from adjacent soil, water flows thru soils towards roots
- Continues until matric potential is too high
- Root hairs and mycorrhizae provide low-cost ways to explore large volumes of soil



$$J_{p} = L_{p} \frac{\Delta y}{I}$$

 $\begin{aligned} J_{p} &= \text{Water flux rate through plants} \\ \Delta y_{t} &= \text{Water potential gradient} \\ L_{p} &= \text{Hydraulic conductivity (resistance)} \\ L &= \text{Path length (resistance)} \end{aligned}$ 

- Water moves in continuous column from soil pores or film on soil particles  $\rightarrow$  roots  $\rightarrow$  stem  $\rightarrow$  leaf  $\rightarrow$  atmosphere
- Plant expends <u>no energy</u> in transporting water
  - Passive transport driven by transpiration
  - Very different from the considerable metabolic energy used by plants to acquire C, N, P, etc.

- Upward movement enabled by strong cohesive forces among water molecules and adhesion to conduit
  - Counteracts gravity
  - Allows H<sub>2</sub>O to move up trees as tall as 100m





Water moves along pressure/energy gradient
Pressure gradients typically differ in day vs. night 34

•Hydraulic lift 1<sup>st</sup> shown by Richards & Caldwell (1987) for *Artemisia tridentata* 

•Since shown for many species •Widespread phenomenon

- •Important implications for:
  - Recharge upper soil layers
  - Facilitating nutrient mineralization
  - Neighboring plant utilization
  - Prolong / enhance fine root activity



Table 2 Species exhibiting hydraulic lift in the field

Species	Reference	Nature of evidence
Artemisia tridentata (sagebrush)	Richards and Caldwell 1987, Caldwell and Richards 1989	Ψ, fluctuations, daytime bagging experiment, deaterium labeling, nighttime lighting experiments
Agropyron desertorum (crested wheatgrass)	Caldwell 1990	Ψ <sub>s</sub> fluctuations, nighttime lighting experiments
Gutierrezia sarothrae (broom snakeweed)	Wan et al. 1993	Water accumulation in upper root zone, soil water content fluctuations
Acer saccharum (sugat maple)	Dawson 1993, 1996	Ψ <sub>s</sub> fluctuations, natural abundance of deaterium
Dipterocarps (three species)	P. Becker, personal communication	Natural abundance of stable isotopes
Quercus douglasii (blue oak)	C. Millikin and C. Bledsce, personal communication	Ψ <sub>s</sub> fluctuations
Sarcobatus vermiculatus (greasewood), Quercus druglasii (blue oak), Artemisia filifolia (sand sagebrash)	J.H. Richards, personal communication	$\Psi_{s}$ fluctuations
Yucca schidigera (Mojave yucca), Larrea trideatata (creosote bush), Ephedra nevadensis (Nevada joint-fir), Anthrosia danosa (white bur sage) Lucium asilichar (rodu thorphash)	C. Yoder and R. Nowak, personal communication	Ψ <sub>s</sub> fluctuations, nighttime lighting and daytime bagging experiments

- •Hydraulic lift can be reversed
- •"Hydraulic Redistribution"
- •Transports surface moisture to deeper soil layers
- •Potentially important for:
  - Phreatophytic species
  - Tolerance of water stress during droughts
- •Hydraulic redistribution increases dry season transpiration in the Amazon by ~40%
  - Direct link between plant roots and regional climate via *LE*



- In stems, water moves upward through xylem
  - Capillaries composed of functionally dead cells
- Cavitation (embolism) when enough pressure is applied to break water columns under tension
  - Largely irreversible in many species
    - Diffuse-porous (can) vs. ring-porous (cannot)
- Several "safety factors" keep cavitation from being common
  - Fine roots act as "hydraulic fuse"
    - More vulnerable to cavitation, but more easily replaced



•"Safety Factors"

•Plants tend to produce stems that resist cavitation at lower potentials than typically experienced

•Area of conducting tissue (sapwood area) varies by species, but is linearly related to leaf area

#### •"Safety Factors"

•Water storage in stems (from hours to 5-10 days of water)

•Recharged at night

•Predawn leaf water potential is a good index of soil water status

& degree of drought experienced by plants



- Driving force for water loss from leaves is vapor pressure gradient
  - Depends on temperature and water vapor in bulk air
  - Vapor pressure deficit (VPD)
- Plants control H<sub>2</sub>O loss directly by creating resistance to H<sub>2</sub>O movement between leaf & air
  - Stomatal conductance
  - Boundary layer
  - Pubescence
  - Leaf orientation



- Evaporation from wet canopies
  - Can be a large fraction of precipitation
  - Depends on:
    - Interception (leaf area)
    - Surface roughness (mechanical turbulence)
    - Climate (VPD)



- Soil water availability limits ET from dry canopies
  - Insensitive until ~75-80% of soil available water is gone



- $P = ET + R \pm DS$ 
  - $-P \pm DS = ET + R$
  - $-P \approx ET + R$
  - *P ET* ≈ *R*
- Runoff is the "leftovers" after *ET* 
  - Also determined by infiltration
    - Particularly in arid ecosystems
    - In moist ecosystems, R driven primarily by P



- Management (e.g, deforestation) impacts balance of inputs & outputs
  - Deforestation
    - ↓ *ET*
    - ↓ interception, stemflow, and throughfall → ↓ infiltration and ↑ runoff → ↑ streamflow
  - Reforestation / afforestation should be the opposite



- What proportion of watershed evapotranspiration is evaporation vs. transpiration?
  - Used <sup>2</sup>H and <sup>18</sup>O isotopes to partition ET into transpiration and evaporation (soil, canopy, and water)
  - 15 large watersheds with MAP from <500 to >6000 mm

- Water limited (<1500 mm)
  - Linear increase in T with P (water limited)
  - T is ~71% of ET and 55%
     of P
- At MAP >1500 mm
  - Plateau (radiation limited)
  - T is ~71% of ET and 10 15% of P
    - By balance, R↑



Ferguson & Veizer 2007

- Very tight coupling between hydrologic and carbon cycles
  - C cycle limited by T?
  - T limited by C cycle?
  - Both limited by same factors?
- T was <u>3 orders of</u> <u>magnitude</u> larger than NPP



Ferguson & Veizer 2007